

1. Distributor Information				Application No.
Distributor Code	Sub-Broker Code	Internal Sub-Broker Code	EUIN*	RIA CODE [^]
ARN -	ARN -	INTERNAL CODE	Employee Unique IDENTIFICATION NO.	

*Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker".

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Distributor Code'.

[^]I/We, have invested in the below mentioned scheme of Samco Mutual Fund under the Direct Plan. I/We hereby give my/our consent to share/provide the transaction data feed / portfolio holdings / NAV etc. in respect of this particular transaction, to the SEBI Registered Investment Advisor (RIA) bearing the above mentioned registration number.

Signature (s)

SOLE / FIRST APPLICANT

SECOND APPLICANT

THIRD APPLICANT

Mode of Holding

(In case of Demat Purchase Mode of Holding should be same as in Demat Account) ☐ Single ☐ Joint ☐ Anyone or Survivor (Default)

1. Applicant Information (Mandatory) to be filled in block letters (Refer Instruction No.II)

Folio No. (For Existing unit holders) **Gender** ☐ Male ☐ Female ☐ Transgender

Name of Solo / 1st Applicant Mr. / Ms. / M/s.

PAN **CKYC No.** **Date of Birth**

Mailing address

City **State** **Pin code**

Mobile No. **Email ID**

The Email ID belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependents ☐ POA ☐ Custodian ☐ Guardian

The Mobile No. belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependents ☐ POA ☐ Custodian ☐ Guardian

Please note: In the event that the mobile number or the email id provided herein above does not appear to be that of the unit holder's, then the AMC shall send suitable communication in this regard to the unit holder.

LEI Code **Valid upto** (Legal Entity Identifier Number is Mandatory for transaction value of INR 50 crore and above for Non-Individual investors. Refer instruction no. XXII)

Guardian Details (In case First / Sole Applicant is minor) / Contact Person- Designation / POA Holder (In case of Non- Individual Investors)

Mr. / Ms. **Relationship with Minor/Designation**

PAN **CKYC No.** **Gender** ☐ Male ☐ Female ☐ Transgender

Mobile No. **Email ID**

The Email ID belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependents ☐ POA ☐ Custodian ☐ Guardian

The Mobile No. belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependents ☐ POA ☐ Custodian ☐ Guardian

Date of Birth Proof for minors (Any One)

☐ Birth Certificate ☐ Marksheet (HSC/ICSE/CBSE) ☐ School Leaving Certificate ☐ Passport ☐ Others

Second Applicant

Mr. / Ms.

PAN **CKYC No.** **Gender** ☐ Male ☐ Female ☐ Transgender

✂

Received from: Mr. / Ms. / M/s **Application No.**

an application for units of Samco Plan: ☐ Regular ☐ Direct Option: **Growth**

vide Cheque No **Dated** **Amount (₹)**

Drawn on Bank

Branch

Collection Center's Stamp & Receipt Date and Time

Mobile No.

Email ID

The Email ID belongs to (Mandatory Please ✓)

☐ Self

☐ Spouse

☐ Dependents

☐ POA

☐ Custodian

☐ Guardian

The Mobile No. belongs to (Mandatory Please ✓)

☐ Self

☐ Spouse

☐ Dependents

☐ POA

☐ Custodian

☐ Guardian

Third Applicant

Mr. / Ms.

PAN

CKYC No.

Gender

☐ Male

☐ Female

☐ Transgender

Mobile No.

Email ID

The Email ID belongs to (Mandatory Please ✓)

☐ Self

☐ Spouse

☐ Dependents

☐ POA

☐ Custodian

☐ Guardian

The Mobile No. belongs to (Mandatory Please ✓)

☐ Self

☐ Spouse

☐ Dependents

☐ POA

☐ Custodian

☐ Guardian

Demat Account Details

☐ NSDL☐ CDSL

☐ Physical Mode

☐ Demat Mode (Mandatory to provide the demat details in case mode of holding tick as demat mode)

CDSL

NSDL

I

N

Beneficiary A/C No.

Depository Participant Name

Please Note: Demat Account Details of First / Sole Applicant (Name should be as per demat account)

(Note: Please attach copy of Client Master List.)

Tax Status (Applicable for First / Sole Applicant)

☐ Resident Individual

☐ FII

☐ NRI - NRO

☐ HUF

☐ Body Corporate

☐ Club / Society

☐ PIO

☐ Minor

☐ Government Body

☐ Trust

☐ NRI - NRE

☐ Bank & FI

☐ Proprietorship Firm

☐ Partnership Firm

☐ QFI

☐ Provident Fund

☐ Others

Overseas Address

Address for Communication (for NRI applicants)

☐ Indian☐ Overseas

Address (Mandatory for NRI/FII applicant*)

Country

Zip Code

Email Communication (Please tick ✓)

Default communication mode is through 'email'. If email address is not provided then please 'Opt-in' to receive below documents in physical copy by ticking the option below:

☐ Annual Report

☐ Abridged Annual Report

☐ Other Statutory Information

2. KYC Details (Mandatory - Refer Instruction No XI for details)

First Applicant:

☐ Business

☐ Service

☐ Professional

☐ Agriculturist

☐ Housewife

☐ Student

☐ Defence

☐ Bureaucrat

☐ Forex Dealer

☐ Unlisted Company

☐ Body Corporate

☐ Listed Company

☐ Others

Second Applicant:

☐ Business

☐ Service

☐ Professional

☐ Agriculturist

☐ Housewife

☐ Student

☐ Defence

☐ Bureaucrat

☐ Forex Dealer

☐ Unlisted Company

☐ Body Corporate

☐ Listed Company

☐ Others

Third Applicant:

☐ Business

☐ Service

☐ Professional

☐ Agriculturist

☐ Housewife

☐ Student

☐ Defence

☐ Bureaucrat

☐ Forex Dealer

☐ Unlisted Company

☐ Body Corporate

☐ Listed Company

☐ Others

Gross Annual Income (Please tick✓)

First Applicant:

☐ Below 1 Lac

☐ 1-5 Lacs

☐ 5-10 Lacs

☐ 10-25 Lac

☐ >25 Lacs - 1 Crore

☐ > 1 Crore

OR

Net worth (Mandatory for Non - Individuals)

₹

as on

D

D

M

M

Y

Y

Y

Y

(Not older than 1 year)

Second Applicant:

☐ Below 1 Lac

☐ 1-5 Lacs

☐ 5-10 Lacs

☐ 10-25 Lac

☐ >25 Lacs - 1 Crore

☐ > 1 Crore

OR

Net worth (Mandatory for Non - Individuals)

₹

as on

D

D

M

M

Y

Y

Y

Y

(Not older than 1 year)

Third Applicant:

☐ Below 1 Lac

☐ 1-5 Lacs

☐ 5-10 Lacs

☐ 10-25 Lac

☐ >25 Lacs - 1 Crore

☐ > 1 Crore

OR

Net worth (Mandatory for Non - Individuals)

₹

as on

D

D

M

M

Y

Y

Y

Y

(Not older than 1 year)

CHECKLIST: Please submit the following documents with your application (where applicable). All documents should be original/true copies certified by a Director/Trustee /Company Secretary / Authorised signatory / Notary Public)

Documents	Individual	HUF	Companies / LLP	Societies	Partnership Firms	Investment through POA	Trusts	NRI	FII \$	PIO	FPI#
Copy of PAN Card	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
KYC Compliance	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Declaration under FATCA	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Resolution/ Authorization to invest			✓	✓	✓		✓		✓		✓
List of authorized signatories with specimen signatures			✓	✓	✓	✓	✓		✓		✓
Trust Deed							✓				
Ultimate Beneficial Ownership (UBO)		✓	✓	✓	✓		✓		✓		✓
Bye-laws				✓							
Partnership Deed					✓						
Certificate of Registration									✓		✓
Notarized POA						✓					
PIO Card										✓	
Foreign Inward Remittance Certificate										✓	

For Individuals (Please tick ✓)

	First Applicant:	Second Applicant	Third Applicant
I am Politically Exposed Person			
I am Related to Politically Exposed			
Not Applicable			

For Non-Individual Investors (Please tick ✓)

Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company : ☐ Yes ☐ No (If No, please attach mandatory UBO Declaration)

Foreign Exchange / Money ☐ Yes ☐ No Gaming / Gambling / Lottery / Casino ☐ Yes ☐ No Money Lending / Pawning ☐ Yes ☐ No

Charger Services

Services

3. FATCA/CRS Details - Non Individual Investors should mandatory to fill separate FATCA/CRS details form (Refer Instruction No.XVIII)

	Sole / First Applicant / Guardian			2nd Applicant			<input type="checkbox"/> 3rd Applicant	<input type="checkbox"/> POA	
Place & Country of Birth									
	Country #	Tax Payer Ref ID No	Identification Type (TIN or other, please specify)	Country #	Tax Payer Ref ID No	Identification Type (TIN or other, please specify)	Country #	Tax Payer Ref ID No	Identification Type (TIN or other, please specify)

#Please indicate all countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number & it's Identification type e.g: TIN etc

4. Power of Attorney (POA) If investment is being made by a Constitutional Attorney, please submit notarised copy of POA

POA NAME

Mr. / Ms. / M/s.

PAN

5. Nomination Details (Please tick ✓)

☐ I/We do hereby nominate the undermentioned Nominee(s) to receive the Units allotted to my/our credit in my/our folio in the event of my/our death. I/We also understand that all payment and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustees. **OR**

☐ I/We hereby confirm that I/We do not wish to appoint any nominee(s) for my mutual fund units held in my/our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

Nominee details	Nominee 1	Nominee 2	Nominee 3
Name			
Address			
PAN			
Date of Birth			
Relationship			
Proportion (%)*			
Name and the Address of the Guardian (to be furnished in case the nominee is minor)			
Signature of Guardian / Nominee			

*(%) by which the units will be shared by each nominee (% to aggregate to 100%)

Signature (s)

"Should be signed by all unit holders including joint holders, irrespective of mode of holding"

SOLE / FIRST APPLICANT

SECOND APPLICANT

THIRD APPLICANT

6. Lumpsum/New SIP-Investment Details*

Choice of Scheme/Plan/Option For SIP Investment Auto-Debit Form is mandatory (Refer Instruction No.VI)

Scheme Plan: ☐ Regular ☐ Direct Option: **Growth**

7. Bank Account Details

Account No Account Type (Please ✓): ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR
Bank Name Bank Address
City Pin IFSC CODE MICR CODE

8. Payment Details

Mode of Payment (Please ✓) ☐ RTGS/NEFT/Fund Transfer ☐ Demand Draft ☐ Cheque ☐ One time Mandate
Cheque No Date Gross Amount ₹
Net Amount ₹ DD Charges ₹
Bank Details: ☐ Same as above (Please tick (✓) if yes) ☐ Different from above (Please tick (✓) if it is different from above and fill in the details below)
Bank/Branch & City
Account No Account Type (Please ✓): ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

9. Systematic Transaction Registration Details

Systematic Investment Plan (SIP) (For SIP investment it is mandate to submit SIP Mandate Registration Form)

Scheme Plan: ☐ Regular ☐ Direct Option: **Growth**
Installment amount (in figures) ₹ Installment amount (in words)
SIP Frequency: (Please ✓): ☐ Monthly ☐ Quarterly ☐ Half Yearly Debit Date
SIP Period: From Date To Date OR No. of Installments OR Perpetual: ☐

Systematic Transfer Plan (STP)

From Scheme Plan: ☐ Regular ☐ Direct Option: **Growth** To Scheme Plan: ☐ Regular ☐ Direct Option: **Growth**
Amount (in figures): ₹ STP Frequency: (Please ✓): ☐ Daily ☐ Weekly (Monday to Friday) Day of Transfer : ☐ Fortnightly
☐ Monthly ☐ Quarterly Debit Date: ☐ 1 ☐ 7 ☐ 10 ☐ 15 ☐ 25 STP Period: From To

10. Declaration and Signature(s)

Having read and understood the contents of the Scheme Information Document (SID) of the scheme applied for and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I/We hereby apply to Samco Mutual fund for units of such Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We further declare, I am / we are authorised to invest the amount & that the amount invested by me/us in the above mentioned Scheme(s) is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. It is expressly understood that I/We have the express authority from our constitutional documents to invest in the units of the Scheme(s) and the AMC/Trustee/Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents.

I/We undertake that these investments are my/our own and acknowledge that AMC reserves the right to call for such other additional information/documents as required to comply with PMLA/KYC/FATCA norms. I/We hereby, further agree that the Fund can directly credit all the redemption amount to my bank details given above. I/We hereby declare that the particulars stated above are correct.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We further agree that the Fund/AMC can send us all types of SMS relating to the products offered by them. Applicable to investors who have not opted for nomination facility. I/We hereby confirm that it is my/our informed decision not to avail the nomination facility offered by Samco Mutual Fund.

Applicable to NRI only: I/We confirm that I am / we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. Please (ü) (Including amount of Additional Purchase Transaction made in future)

☐ Repatriation ☐ Non Repatriation

Date

Place

Signature (s)

SOLE / FIRST APPLICANT

SECOND APPLICANT

THIRD APPLICANT